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1754  
Attorney Docket No. 100647/3845

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicant:** Moy et al.  
**Serial No.:** 09/839,932  
**Filed:** April 20, 2001  
**Group Art Unit:** 1754  
**Examiner:** Not Yet Assigned  
**For:** **Process For Producing Single Wall Nanotubes Using  
Unsupported Metal Catalysts And Single Wall Nanotubes  
Produced According To This Method**

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited on May 8, 2002, with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231.

**Signature:**

*James P. Barabas*  
James P. Barabas, Reg. No.: 46,536  
Kramer Levin Naftalis & Frankel LLP

**RECEIVED**  
MAY 22 2002  
TC 1700

Assistant Commissioner For Patents  
Washington, DC 20231

**INFORMATION DISCLOSURE STATEMENT**

Sir:

Applicants respectfully submit this Information Disclosure Statement pursuant to 37 C.F.R. §§ 1.97 and 1.98 in order to comply with the duty of disclosure under 37 C.F.R. § 1.56. These references are listed on the attached modified PTO Form No. 1449.

This Information Disclosure Statement is being mailed before the mailing date of the first Office Action on the merits, and thus, pursuant to 37 C.F.R. § 1.97(b), Applicants respectfully request that the information be expressly considered during the prosecution of this application and that the references be made of record therein and appear among the "References

Cited" on any patent to issue therefrom. Applicants further request that a copy of the PTO Form No. 1449, appropriately initialed by the Examiner, be returned to Applicants' attorney.

All of the references listed on the attached Form 1449 have been previously submitted to, considered, or received by the Examiner in the parent U.S.S.N. 08/910,495 (now U.S. Pat No. 6,221,350 B1) and thus are not provided herein pursuant to 37 C.F.R. § 1.98(d).

It is believed that no fees are due in connection with this Information Disclosure Statement. However, should any fees be due, the commissioner is authorized to charge Deposit Account No. 50-0540 for such fees. Early and favorable action is earnestly solicited.

Dated: May 8, 2002

Respectfully submitted,



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Assistant Commissioner for Patents  
Washington, D.C. 20231

Date Mailed: May 8, 2002  
Attorney Docket No. 100647/03845

In re: Moy et al.  
Serial No.: 09/839,932  
Examiner: Not Yet Assigned  
**PROCESS FOR PRODUCING  
SINGLE WALL NANOTUBES  
USING UNSUPPORTED METAL  
CATALYSTS AND SINGLE WALL  
NANOTUBES PRODUCED  
ACCORDING TO THIS METHOD**

Filed: April 20, 2001  
Group Art Unit: 1754

THE RETURN OF THIS POSTCARD PROPERLY STAMPED WILL ACKNOWLEDGE RECEIPT IN  
THE UNITED STATES PATENT AND TRADEMARK OFFICE OF THE FOLLOWING SUBJECT  
MATTER:

1. Information Disclosure Statement (original and copy, 4 pgs. each)  
Date of Deposit: May 8, 2002

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